UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

- 4	=1	10	а.

7

03/10/2009

HOFFMAN WARNICK LLC 75 STATE ST 14TH FL ALBANY, NY 12207

EXAMINER					
AU, BAC H					
ART UNIT	PAPER NUMBER				
2022	·				

DATE MAILED: 03/10/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/711.697	09/30/2004	Ronald G. Filippi	FIS920040188US1	5696

TITLE OF INVENTION: GAS DIELECTRIC STRUCTURE FORMING METHODS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/10/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				tion of maintenance fees will be mailed to the current correspondence address a ew correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, mushave its own certificate of mailing or transmission.			
45094 7590 03/10/2009 HOFFMAN WARNICK LLC 75 STATE ST 14TH FL				Cer	tificate	of Mailing or Transn	nission deposited with the United t class mail in an envelope above, or being facsimile tte indicated below.
ALBANY, NY	12207						(Depositor's name)
							(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	2	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/711,697	09/30/2004		Ronald G. Filippi		FIS	S920040188US1	5696
APPLN, TYPE	SMALL ENTITY	IRUCTURE FORMING ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE I	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO NO	\$1510	\$300	\$0	LILL	\$1810	06/10/2009
EXAM		ART UNIT	CLASS-SUBCLASS			00/10/2009	
AU, B	ВАС Н	2822	438-696000	J			
"Fee Address" ind PTO/SB/47; Rev 03-(Number is required. 3. ASSIGNEE NAME A PLEASE NOTE: Un	ND RESIDENCE DATA less an assignee is ident th in 37 CFR 3.11. Comp	"Indication form led. Use of a Customer A TO BE PRINTED ON lifted below, no assignee	(1) the names of up to agents OR, alternat (2) the name of a sing registered attorney or 2 registered patent att listed, no name will be THE PATENT (print or ty data will appear on the pT a substitute for filing an (B) RESIDENCE: (CIT	ively, le firm (having as a agent) and the namorneys or agents. If printed. pe) patent. If an assign assignment.	members of up no nam	er a 2 o to e is 3	ocument has been filed for
Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🗖 Co	orporati	on or other private gro	up entity 🚨 Government
4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies 5. Change in Entity Status (from status indicated above)			4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
_ 。 .	ns SMALL ENTITY state	· · · · · · · · · · · · · · · · · · ·	b. Applicant is no los	nger claiming SMA	LL ENT	TITY status. See 37 CF	R 1.27(g)(2).
NOTE: The Issue Fee an interest as shown by the	nd Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than c Office.	the applicant; a regi	stered a	attorney or agent; or the	e assignee or other party in
Authorized Signature				Date			
Typed or printed name				-			
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	itiality is governed by 35 d application form to the ions for reducing this bu. Virginia 22313-1450. DC	FR 1.311. The informati- U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the D NOT SEND FEES OR	on is required to obtain or 1.14. This collection is es / depending upon the indi e Chief Information Offic COMPLETED FORMS T	retain a benefit by t stimated to take 12 to vidual case. Any co ser, U.S. Patent and O THIS ADDRESS	he publ minutes mments Tradem S. SENI	ic which is to file (and to complete, including s on the amount of tim ark Office, U.S. Depa D TO: Commissioner fo	by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/711,697	09/30/2004	Ronald G. Filippi	FIS920040188US1	5696
45094	7590 03/10/2009		EXAM	INER
HOFFMAN WA	ARNICK LLC		AU, B	АС Н
75 STATE ST			ART UNIT	PAPER NUMBER
14TH FL ALBANY, NY 12	2207		2822 DATE MAILED: 03/10/200	9

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
	10/711,697	FILIPPI ET AL.
Notice of Allowability	Examiner	Art Unit
	Boo H. A.:	2022
	Bac H. Au	2822
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject to	plication. If not included n will be mailed in due course. THIS
1. This communication is responsive to <u>13 January 2009</u> .		
2. \blacksquare The allowed claim(s) is/are $\underline{1,4,5,7,8,11,13,15-17,19}$ and $\underline{2}$	<u>0</u> .	
 Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 	nder 35 U.S.C. § 119(a)-(d) or (f).	
 Certified copies of the priority documents have 	e been received.	
2. Certified copies of the priority documents have	e been received in Application No	·
3. Copies of the certified copies of the priority do	cuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.	
(a) ☐ including changes required by the Notice of Draftspers		-948) attached
1) hereto or 2) to Paper No./Mail Date	•	() () () () () () () () () ()
(b) ☐ including changes required by the attached Examiner's		Office action of
Paper No./Mail Date	57 Michaniem 7 Gomment of in the C	Since delien of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT		
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of Informal F	Patent Application
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary	
_ ,	Paper No./Mail Da	te .
3. Information Disclosure Statements (PTO/SB/08),	7. 🛛 Examiner's Amendi	ment/Comment
Paper No./Mail Date 4.	8. 🛛 Examiner's Stateme	ent of Reasons for Allowance
	9.	
/Kevin M. Picardat/		
Primary Examiner, Art Unit 2822		

DETAILED ACTION

Response to Amendment

1. Applicant's amendment dated January 13, 2009 in which claims 1, 11, and 17 were amended, and claims 6, 14, and 18 were cancelled, has been entered.

Allowable Subject Matter

2. Claims 1, 4-5, 7-8, 11, 13, 15-17, and 19-20 are allowed.

The following is an examiner's statement of reasons for allowance: Amendments to the claims and Applicant's arguments were persuasive.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bac H. Au whose telephone number is 571-272-8795. The examiner can normally be reached on Mon-Fri 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Zandra Smith can be reached on 571-272-2429. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/711,697 Page 3

Art Unit: 2822

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Bac H Au/ Examiner, Art Unit 2822

/Kevin M. Picardat/ Primary Examiner, Art Unit 2822